

Sector \$



IN RE PATENT APPLICATION OF:

STEPHEN P. WILLIAMS, ET AL..

SERIAL NO.: 09/768,975

FILED: 1/23/01

Art Unit 2652

Examiner: Not Assigned

FOR: A DUAL STAGE, HEAD STACK ASSEMBLY FOR A DISK DRIVE

HON. COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

Dear Sir:

Transmitted herewith is:

Response to Notice to File Missing Parts of Application

Executed Inventor Declaration

Copy of Form PTO-1533

Small Entity Status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.

The proceedings herewith are for a patent application and the provisions of 37 CFR 1.136 apply.

Applicant petitions for an extension of time under 37 CFR 1.136 (fees: 37 CFR 1.17(a)-(d)) for the total number of months checked below:

Extension (months)	Fee (other than small entity)	Fee (small entity)
one month	\$ 110	\$ 55
two months	\$ 380	\$ 190
three months	\$ 870	\$ 435
four months	\$ 1360	\$ 680

Extension fee due with this request \$

If an additional extension of time is required, please consider this a petition therefore.

The fee for claims has been calculated as shown below:

Claims (After Amendment)	Highest Prev. Paid For	Extra	Rate
Total		0	\$22
Indep.		0	\$82

Total additional fee for claims \$



Docket No. Q99-1067, S1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the Patent Application of  
STEPHEN P. WILLIAMS ET AL.

Serial No. 09/768,975

Art Unit 2652

Filed: 1/23/01

Examiner Unknown

For: A DUAL STAGE, HEAD STACK ASSEMBLY FOR A DISK DRIVE

HON. COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

Dear Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

This is in response to the Notice to File Missing Parts of Application mailed on 3/12/01. This Response is being timely filed within 2 months from the date of the Notice.

Attached hereto, Applicant submits an executed inventor Declaration and a copy of form PTO-1533. The Commissioner is hereby authorized to charge the fee set forth in the Notice to Deposit Account 50-0283.

Respectfully submitted,  
QUANTUM CORPORATION

By:



David B. Harrison

Reg. No. 27,445

Representative for Assignee

Dated: April 2, 2001

QUANTUM CORPORATION  
500 McCarthy Boulevard  
Milpitas, California 95035  
(408) 894-4191

FEE PAYMENT

  X   Please charge Deposit Account No. 50-0283 in the amount of \$ 130.00.  
(A duplicate copy of this sheet is attached.)

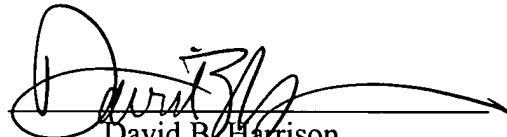
       A check in the amount of \$            is attached.

  X   The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 50-0283.

         X   Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

         X   Any patent application processing fees under 37 CFR 1.17.

Date: April 2, 2001

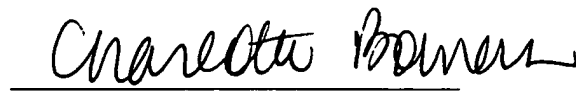
  
David B. Harrison  
Reg. No. 27,445  
(408) 894-4191  
QUANTUM CORP.  
500 McCarthy Blvd.  
Milpitas, CA 95035

Certificate of Mailing

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited on the date shown below with the United States Postal Service in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231,

  X   with sufficient postage as first class mail; or  
       as "Express Mail Post Office to Addressee" Mailing Label  
Number                                  US

on: April 2, 2001

  
Charlotte Bowers  
Date of Signature: April 2, 2001